



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,734	06/18/2001	Mark E. Thompson	10020/15302

CONFIRMATION NO. 3618

**FORMALITIES LETTER**



\*OC000000006319717\*

26646  
KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

Date Mailed: 07/19/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

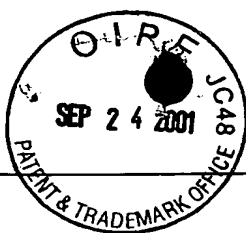
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION --  
FILING DATE GRANTED**Docket Number:  
**10020/15302**Application Number  
**09/883,734**Filing Date  
**June 18, 2001**Examiner  
**Not yet assigned**Art Unit  
**1774**Invention Title  
**ORGANOMETALLIC COMPLEXES AS  
PHOSPHORESCENT EMITTERS IN  
ORGANIC LEDs**Inventor(s)  
**THOMPSON et al.**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 9/21/01Signature: Thomas F. Meagher  
Thomas F. Meagher (Reg. No. 29,831)

To complete the filing requirements for the above-referenced application under 37 C.F.R. § 1.51, enclosed please find three (3) executed Declarations. A copy of the Notice to File Missing Parts of Nonprovisional Application mailed July 19, 2001 is also enclosed.

**Also enclosed, please find a Statement Under 35 U.S.C. §21(a) and 37 C.F.R. §1.6(e).**

1. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.16(e) surcharge fee of **\$65.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**. The Commissioner is also authorized to charge any additional fees or credit any overpayment in connection with this paper to Deposit Account No. 11-0600.
2. A duplicate copy of this sheet is enclosed.

Dated: September 21, 2001

By:

Thomas F. Meagher  
Thomas F. Meagher (Reg. No. 29,831)KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICEAPPLICANT(S) **THOMPSON et al.**SERIAL NO. **09/883,734**FILED **June 18, 2001**FOR : **ORGANOMETALLIC COMPLEXES AS  
PHOSPHORESCENT EMITTERS IN ORGANIC LEDs**ART UNIT : **1774**EXAMINER : **Not yet assigned**Assistant Commissioner for  
Patent and Trademarks  
Washington, DC 20231I hereby certify that this correspondence is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231.Date: 9/21/01Signature: 

Thomas F. Meagher (Reg. No. 29,831)

STATEMENT UNDER 35 U.S.C. § 21(a) AND 37 C.F.R. § 1.6(e)

Sir:

On September 13, 2001, the Director issued a notice designating the interruption in the service of the U.S. Postal Service in the borough of Manhattan (which started on September 12, 2001) as a postal service interruption and an emergency within the meaning of 35 U.S.C. § 21(a). On September 20, 2001, U.S. Postal Service may have been restored in the area covering the office of Kenyon & Kenyon located at One Broadway in the borough of Manhattan. As such, in accordance with 37 C.F.R. § 1.6(e), the attached correspondence is being filed promptly after the ending of the designated interruption. The attached correspondence would have been filed on September 19, 2001 if it were not for the U.S. Postal Service interruption and emergency.

Respectfully submitted,

Dated: September 21, 2001

By: Thomas F. Meagher  
Reg. No. 29,831KENYON & KENYON  
One Broadway  
New York, NY 10004  
(212) 425-7200  
CUSTOMER NO. 26646



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**Transmittal Letter**

Docket Number:  
**10020/15302**

Application Number  
**09/883,734**

Filing Date  
**June 18, 2001**

Examiner  
**Not yet assigned**

Art Unit  
**1774**

Invention Title  
**ORGANOMETALLIC COMPLEXES AS  
PHOSPHORESCENT EMITTERS IN  
ORGANIC LEDS**

Inventor(s)  
**THOMPSON et al.**

Address to:

Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: **9/21/01**

Reg. No. 29,831

Signature: 

Thomas F. Meagher

1. Please find enclosed the following documents filed in connection with the above-referenced application:

Two (2) Appointment of Power of Attorney by Assignees of Entire Interest.

2. No fee is believed necessary however, the Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**:

- A. Any additional filing fees required under 37 C.F.R. § 1.16;
- B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
- C. Any additional patent issue fees under 37 C.F.R. § 1.18;
- D. Any additional document supply fees under 37 C.F.R. § 1.19;
- E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
- F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.

Dated: **9/21/01**

By: 

Thomas F. Meagher (Reg. No. 29,831)

**KENYON & KENYON**  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)